# Wisconsin



**Archives Placement** 

agency), Archives Division

Management Section

**Records Management Placement** 

Entered the Union 1848

Population (est. 1994):

State Historical Society of Wisconsin (independent

Department of Administration, Division of State Agency Services, Bureau of General Services, Records

5,082,000 Rank: 18/50

Land Area (square miles):

54,314 Rank: 25/50

# VVISCOIISII

#### **State Historical Records Coordinator:**

Director (vacant) State Historical Society of Wisconsin 816 State Street, Madison, WI 53706

**Deputy Coordinator:** 

Peter Gottlieb, State Archivist State Historical Society of Wisconsin Telephone: (608) 264-6450

Internet: peter.gottlieb@ccmail.adp.wisc.edu

# ARCHIVES AND RECORDS PROGRAM FINANCES State Archives Established: 1907 State Records Management Initiated: Total State Govt Expenditures (1993): \$13,272,436,000 Total Budget, State Archives (FY 1994):

\$2,868,635

See "Notes" section, below, for program elements included in budget and FTEs.

Percent of Total State Expenditures Allocated to Archives and Records: 0.022~%

State Archives funding has been relatively stable over last 2 years.

₩¥¥₩ **STAFFING** State Government FTEs (1992): Number of Archives FTEs per 1000 State FTEs: 0.56 72,674 Archives FTEs (1994): Average earnings for all full-time state employees \$38,592 Total 41 (Oct. 1992): Archives 22 Salary ranges for entry level professionals Records Mgt 19 Archivist (objective) \$27,000 Records Center Supervisor \$28,200-36,300

HOLDINGS				
State Archives			Records Center	
Paper records Government	53,335		Paper records Government 133,000 cu. ft.	
Nongovernment	43,213	cu. ft.	Nongovernment 0 cu. ft.	
Microfilm (total no. of rolls)	46,246	rolls	Microfilm (total no. of rolls) 34,500 rolls	
Computer generated	120	files	Computer generated 70,250 items (weather data, reels, cartridges)	
Photographs ca.	2,000,000	items	Optical disks 100 items	
Films, video, audio tapes	27,000	items	Maps, blueprints, drawingsca. 400 cu. ft.	
Maps, blueprints, drawings	2,686	cu. ft.	X-rays 1,700 cu. ft.	

ACCESS TO RECORDS IN STATE ARCHIVES		
Reference services provided (FY 1994) State Archives Individual daily visits 6,257	Arrangement and description activities (FY 1994) Records arranged and described 369 cu. ft. (165 series)	
Mail requests 1,712 Telephone requests 1,427 Reference activity has decreased over last 2 years.	Descriptions of holdings are provided through: Web Home Pagehttp://www.wisc.edu/shs-archives/	
Services provided free of charge: Use of reference room	Nonelectronic finding aids available at State Archives describe 95% of holdings at series level.	
Answers to in-state and out-of-state mail requests cont.	Published finding aids produced by State Archives describe 45% of holdings at and series level cont.	

Wisconsin page 2

# Access to Records in State Archives, cont.

Reference Services, cont.

Services provided for a fee:

Photocopies and faxes of documents or finding aids Typed certified copies or exemplifications Commercial use of documents/photos

No new fees have been instituted in last 2 years.

Arrangement and Description, cont.

Automated finding aids accessible in-house describe 72% of State Archives holdings at the series level

Automated finding aids accessible remotely describe 72% of State Archives holdings at the records group and series

#### **FACILITIES**



#### **State Archives Facilities**

(owned by State Historical Society of Wisconsin) 1900 1954, 1965-68 Constructed: Renovated:

98,000 cu. ft. Total storage capacity:

Percent now occupied: 98%

Already full to capacity

Construction of a new building and a major renovation are planned.

Existing environmental controls:

100% year-round temperature controls year-round humidity controls 100%

100% fire detection 0% fire suppression

Environmental controls are not very good; it is doubtful that any standards are met.

# **State Records Center**

(owned by Department of Administration)

Constructed: 1967 Renovated: 1990 Total storage capacity: 146,500 cu. ft. Percent now occupied: 98-100%

Already full to capacity Construction of new building, approved; increase in leased

space planned.

Additional rented facility used for 13% of holdings.

Existing environmental controls (ANSI/NFPA standards):

88% year-round temperature controls (A/C)

0% year-round humidity controls

100% fire detection 0% fire suppression

Separate facility for electronic records has halon system.

#### SERVICES TO STATE AND LOCAL GOVERNMENT AGENCIES

#### Technical assistance provided by State Archives (FY 1994):

No. completed (state agencies) 175 (local govt officials) 30 (state agencies) No. of agencies served 80 (local govt officials)

Technical assistance provided by Records Mgt (FY

1994):

20 No. completed (state agencies) (local govt officials) 10 No. of agencies served 40 (state agencies) (local govt officials) 10

No. of local government units (1992):

430 school districts 72 counties municipalities 583 399 special districts

townships 1.267

#### Services to state agencies

Training (St Arch, Rec Mgt) Publications (Rec Mgt)

Micrographics services (Rec Mgt) Consultation/advice (St Arch, Rec Mgt) Telephone consultations (St Arch)

Services to local governments:

Training and publications(Rec Mgt) Micrographics services (Rec Mgt) Consultation/advice (St Arch, Rec Mgt)

Labor in agencies (inventorying, processing, conservation)

(St Arch)

State Archives has authority to accept original archival records from local governments.

# **MICROGRAPHICS**



# PRESERVATION POLICIES AND SERVICES

#### Microfilming activities by Records Management (FY 1994)

Source doc microfilming 2.3 million images Processing 5,200 rolls

Duplicating 4,200 rolls, 64,000 fiche

COM processing was outsourced in 1994.

Records Management provides centralized micrographics services for state and local government agencies.

Records Management has experienced redox problems.

Records Management and State Archives both store security microfilm for state and local government agencies.

# Preservation activities by State Archives (FY 1994)

350 projects (itemized totals not kept)

State Archives has a written preservation plan; it has prepared a written disaster plan but it is not yet approved.

State Archives has a preservation officer and employs a trained, full-time conservator.

Wisconsin does not have a statewide preservation.



Wisconsin page 3

#### **AUTOMATED APPLICATIONS**



# Records Management uses automated applications for

the following:

Finding aids Versatile Accessioning Versatile Inventory control Versatile Records scheduling Versatile Space management Versatile Bookkeeping Versatile

**Publications** MS Word for Windows

State Archives uses automated applications for the following: Word Perfect, Paradox

Finding aids Word Perfect, Paradox Accessioning

Correspondence Word Perfect

Bookkeeping Excel

# **Electronic Mail**

Records Management uses a government-wide system to communicate within the agency, with others in state government, and, with training, with others via the

State Archives can communicate within the agency and with others via the Internet using a state university

NASIRE reports that Wisconsin is implementing e-mail system that functions across diverse platforms.

## **ELECTRONIC RECORDS**



Records management has an electronic records management program integrated with its regular program.

State Archives has surveyed/inventoried and scheduled dispositions for electronic records; Records Management has done some of this.

Records Management and State Archives both provide security storage for and have accessioned electronic records.

Records Management reports that General Schedules include description of databases. Retention scheduling procedures were changed to facilitate easier description of electronic records by state agencies.

# RECORDS-RELATED LEGISLATION, REGULATIONS, AND GUIDELINES



#### Definition of a record

1989 statute. Includes electronic records: revision in progress to include e-mail.

Public's right to access to government records provided in statute.

#### Restrictions to specific classes of records

provided in statute, restrictions expire after 75 years.

## Permanent paper standards

1993 guidelines

#### Optical imaging standards

1993 regulation

#### Admissibility of microfilm

1987 statute

# Admissibility of optical images

1993 statute, regulation

# Admissibility of electronic records

proposed statute

#### Theft/defacement of a public record

1955 statute, revised 1977

## Replevin

statute (Wisconsin Statutes, Chapter 810)

## INFORMATION POLICY AND INFORMATION INFRASTRUCTURE INITIATIVES

#### **Information Resources Management**

Assigned to Department of Administration; Records Management is somewhat active, State Archives is not active in the state's IRM work

#### **Information Policy Coordination**

Is constituted formally and assigned to the Department of Administration: Records Management and State Archives are somewhat active in the state's information policy work

#### **Government Information Locator Service**

No activity reported.

#### **Electronic Access to Government Information and Services**

NASIRE reports that electronic access is in the planning process for unemployment compensation.

NGA reports that a geographic data system and a GIS is integrating data and decision making between state and local government.

State of Wisconsin

http://www.state.wi.us

Department of Administration

http://badger.state.wi.us:70/1/agencies/doa

Wisconsin page 4

#### SPECIAL PROJECTS AND PROGRAM HIGHLIGHTS



**Local Government Records Program** 

[NAGARA Clearinghouse, Spring 1995]

**Privacy Advocate Established** 

[NAGARA Clearinghouse, Winter 1995]

**New Records Center Approved** 

[NAGARA Clearinghouse, Spring 1995]

**Versatile Records Management Users Group** 

**Established** 

[NAGARA Clearinghouse, Summer 1994]

#### SHRAB ACTIVITIES

 $\mathfrak{R}$ 

#### Strategic Planning Project

The Wisconsin State Historical Records Advisory Board is conducting a statewide strategic planning project, 1995-96, which included a survey of repositories, creators and keepers. It also organized task forces of users, local government officials, repository archivists, and creators and keepers to discuss the emerging plan.

#### **Local Government Records Regrant Project** 1992-94





# FOR FURTHER INFORMATION

**State Archives** 

Peter Gottlieb, State Archivist State Historical Society of Wisconsin 816 State Street, Madison, WI 53706 Telephone: (608) 264-6480 Fax: (608) 264-6486. Internet: peter.gottlieb@ccmail.adp.wisc.edu

**Records Management** 

Steve Hirsch, Chief, Records Management Section Department of Administration 4622 University Avenue, Madison, WI 53702 Telephone: (608) 266-2996 Fax: (608) 266-5050

#### **Notes**

# Program elements included in Archives and Records Management budget and FTE figures:

Archives, \$1,473,500; Records Management, \$1,395,135 In addition to core elements found in most state archives programs (records scheduling, appraisal, accessioning, processing, archival description and reference, and training), the Wisconsin budget and personnel figures also cover

- · service to local governments
- preservation microfilming,
- · records center

The Wisconsin archives and records programs must pay space charges for auxiliary facilities out of its budget. In addition to appropriations, Wisconsin Records Management program receives funds from fees for services or chargebacks.

#### Abbreviations/Acronyms

COM Computer output microfilm COSHRC Council of State Historical Records Coordinators

Full time equivalent staff positions **FTEs** SHRAB State Historical Records Advisory Board

N/A Not available

NAGARA National Association of Government Archives and

Records Administrators

NASIRE National Association of State Information Resources

Executives

NGA National Governor's Association

**NHPRC** National Historical Publications and Records

Commission

RLIN Research Libraries Information Network **SHRAB** State Historical Records Advisory Board Wisconsin page 5

#### **Sources**

Unless otherwise specified below, all information in this profile was provided by the State Archives and/or Records Management offices in the state. Most 1994 data was collected on the Joint Survey administered by the National Association of Government Archives and Records Administrators (NAGARA) and the Council of State Historical Records Coordinators (COSHRC). Additional information was collected from state-issued newsletters and publicity materials, the NAGARA newsletter, *Clearinghouse*, and interviews with state personnel.

Contact for the COSHRC report: Records Management: Steve Hirsch, Chief, Records Management Section, 4622 University Avenue, Madison, WI 53702. Phone: (608) 266-2996; Fax: (608) 266-5050. State Historical Society: Susan E. Davis, Assistant State Archivist, Archives Division, State Historical Society of Wisconsin, 816 State Street, Madison, WI 53706. Phone: (608) 264-6455; Fax: (608) 264-6486.

Estimated State Population (July 1, 1994):
Estimates were published in the Census Bureau newsletter, *Census and You* (January 1995): 3. The numbers include Armed Forces personnel residing in each state.

State Government Finances, Employment, and Earnings Data:

U.S. Bureau of the Census, State Finances: 1993, Public Employment: 1992.

Number of local government units: U.S. Bureau of the Census, 1992 Census of Governments, *Government Organization*.

E-mail and Information Policy and National Information Infrastructure

NASIRE, "Development of the National Information Infrastructure: Issues of State and Local Governments," Issue Focus Report (April 1994):9, 15; NGA, "Technology Inventory," *Government Technology* ((May 1995): 36-40, National Assn of State Directors of Administration and General Services, "Survey of Technologies Accessible to State Agencies," *Government Technology* (May 1995): 52, "Internet Connections to State Government," *Government Technology* (May 1995):62.